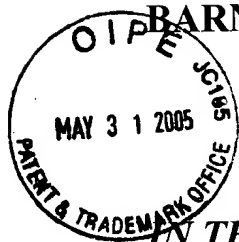


06-01-05

COF C \$



BARNES & THORNBURG LLP

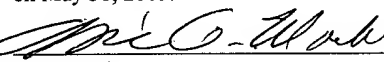
One North Wacker
Suite 4400
Chicago, Illinois 60606
(312) 357-1313
(312) 759-5646 Fax

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group: 1631
Confirmation No.: 5598
Application No.: 09/723,307
Invention: IMMUNOGENIC CANCER
PEPTIDES AND USES THEREOF
Applicant: Emanuel Calenoff and Charles Ditlow
Filed: November 27, 2000
Attorney
Docket: 21417/91482
Examiner: Shubo Zhou

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail No. EV 492594520 US in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 31, 2005.


(Signature)

Alice O. Martin
(Printed Name)

Certificate
JUN 06 2005
of Correction

REQUEST FOR CERTIFICATE OF CORRECTION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please issue a Certificate of Correction for U.S. Pat. No. 6,892,140, based on the above-referenced application, to correct the errors listed on the accompanying PTO Form SB44. This request is being made pursuant to 35 U.S.C. § 254, because the error relates to a typographical error by the applicant.

A copy of the Assignment Recordal (Reel/Frame No: 012446/0030) designating the correct Assignee, Northwestern University, is also enclosed.

A check in the amount of \$ 100.00 is enclosed pursuant to 37 C.F.R. § 1.20(a).

No other fees are believed due at this time, however, please charge any deficiencies or credit any overpayments to deposit account number 12-0913 with reference to our attorney docket number (21417/91482).

06/02/2005 ZJUHR1 00000032 6892140

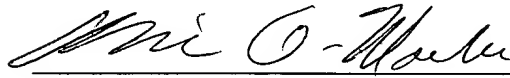
01 FC:1811

100.00 DP

JUN 07 2005

Respectfully submitted,

BARNES & THORNBURG LLP

A handwritten signature in cursive script, appearing to read "Alice O. Martin", written over a horizontal line.

Alice O. Martin

Attorney Registration No. 35,601

May 31, 2005

Barnes & Thornburg
P.O. Box 2786
Chicago, Illinois 60690-2786
May 31, 2005

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO : US 6,892,140 B1

DATED : May 10, 2005

INVENTOR(S) : Emanuel Calenoff, Chicago, IL (US)
Charles Ditlow, Chicago, IL (US)

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

(73) Assignee: Should be changed from Enteron, Inc., Oak Brook, IL (US) to the following:

Northwestern University, 1800 Oak Street, Evanston, IL 60201
United States

MAILING ADDRESS OF SENDER:

PATENT NO. US 6,892,140 B1

No. of additional copies





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MAR 10 2002

PTAS

BARNES & THORNBURG

Chief Information Officer
Washington, DC 20231
www.uspto.gov

MARCH 06, 2002

ALICE O. MARTIN
2600 CHASE PLAZA
10 SOUTH LASSALLE STREET
CHICAGO, IL 60603



101945590A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/08/2002

REEL/FRAME: 012446/0030
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
ENTERON, CORP.

DOC DATE: 10/10/2001

ASSIGNEE:
NORTHWESTERN UNIVERSITY
1800 OAK STREET
EVANSTON, ILLINOIS 60201

SERIAL NUMBER: 09723307
PATENT NUMBER:

FILING DATE: 11/27/2000
ISSUE DATE:

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



01-14-2002



101945590

Doc No.: 21417/91482

ET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Enteron, Corp.

1-8-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: October 10, 2001

2. Name and address of receiving party(ies):

Name: Northwestern University

Internal Address:

Street Address: 1800 Oak Street

City: Evanston State: IL ZIP: 60201

Additional name(s) & address(es) attached? ☐ Yes ☒ No

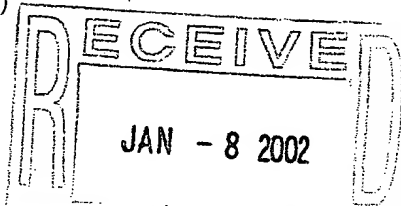
4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/723,307

B. Patent No.(s)



Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Alice O. Martin

Internal Address: 2600 Chase Plaza

Street Address: 10 South LaSalle Street

01/14/2002 TDIAZ1 00000247 09723307

01 FC:581 40.00 OP

City: Chicago State: IL ZIP: 60603

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

10-0435

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alice O. Martin

Name of Person Signing

Signature

10/19/01

Date

Total number of pages including cover sheet, attachments, and document:

3

ASSIGNMENT

WHEREAS, Enteron, Corp., hereinafter called the "Assignor", has made the invention described in the patent application entitled IMMUNOGENIC CANCER PEPTIDES AND USES THEREOF, for a full description of which reference is here made to US Provisional Application 09/723,307 filed on November 27, 2000. The effective date of the assignment is November 27, 2000.

WHEREAS, Northwestern University, having a place of business at 1800 Oak Street, Evanston, Illinois 60201 United States hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent application identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor has sold, assigned, and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest and to all continuations, divisions, renewals, and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignor does hereby covenant and agree, for both the Assignor and the Assignor's legal representatives, that the Assignor will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignor will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

Serial No. 09/723,307

Atty Docket No. 21417/91482

For United States applications, the Assistant Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignor has executed this Assignment.

DATED: 10/10/01

Lee Munder
Lee Munder, President

WITNESSED:

DATE: 10/10/01

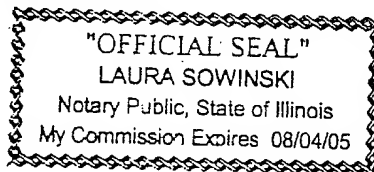
Amanda Vanek

(SEAL)

ACKNOWLEDGMENT

STATE OF Illinois }
COUNTY OF Cook }

SS:



Acknowledged before me, a Notary Public, within and for said County and State. Witness my hand and Notarial Seal this

10 day of October, 192001

Laura Sowinski
Notary Public

Laura Sowinski
Printed Name

My Commission Expires: 08-04-05
County

Resident of Cook